

On the Security of Proofs of Sequential Work in a Post-Quantum World

Jeremiah Blocki¹, Seunghoon Lee¹, Samson Zhou²

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²School of Computer Science, Carnegie Mellon University

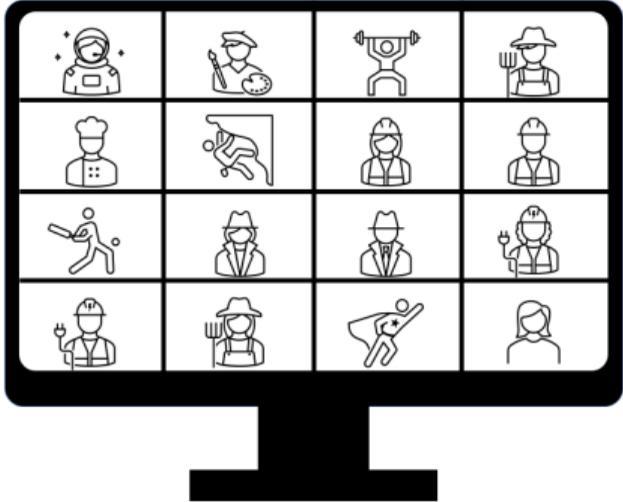
July 28, 2021



Conference on Information-Theoretic Cryptography (ITC) 2021

Motivation: Online Exams during the Pandemic

CS590 FINAL EXAM



Motivation: Online Exams during the Pandemic



[CS590] 5 mins late - having internet issue

CG Cinseer Goodman
Tue 5/2/2021 9:05 PM
To: Seunghoon Lee

 answer-goodman.pdf
157 KB

Dear Professor,

My name is Cinseer Goodman who is taking CS590 this semester. I hope this email finds you well. I was not able to submit the final exam to the server on time due to an unexpected internet connectivity loss. It just went back 5 minutes later so I send you the file via email. I promise I have not done any extra work after the exam time. I hope it works. Thank you.

Best,
Cinseer Goodman

[Reply](#) | [Forward](#)

Motivation: Online Exams during the Pandemic

CS59



[CS590] 5 mins late - having internet issue

CG Cinseer Goodman
Tue 5/2/2021 9:05 PM
To: Seunghoon Lee

LK Liar King
Tue 5/16/2021 9:45 AM
To: Seunghoon Lee

answer_liar.pdf
2 MB

Dear Professor,

You might not believe this, but the internet went down during the final Exam since my cat accidentally chewed out my ethernet cable. I called maintenance, but the repair guy was assassinated on his way. Then the severe tornado struck my town. I know it's been 2 weeks since the deadline, but this is the earliest I could send the answer to you. Please understand. I swear I haven't made any edits since the deadline.

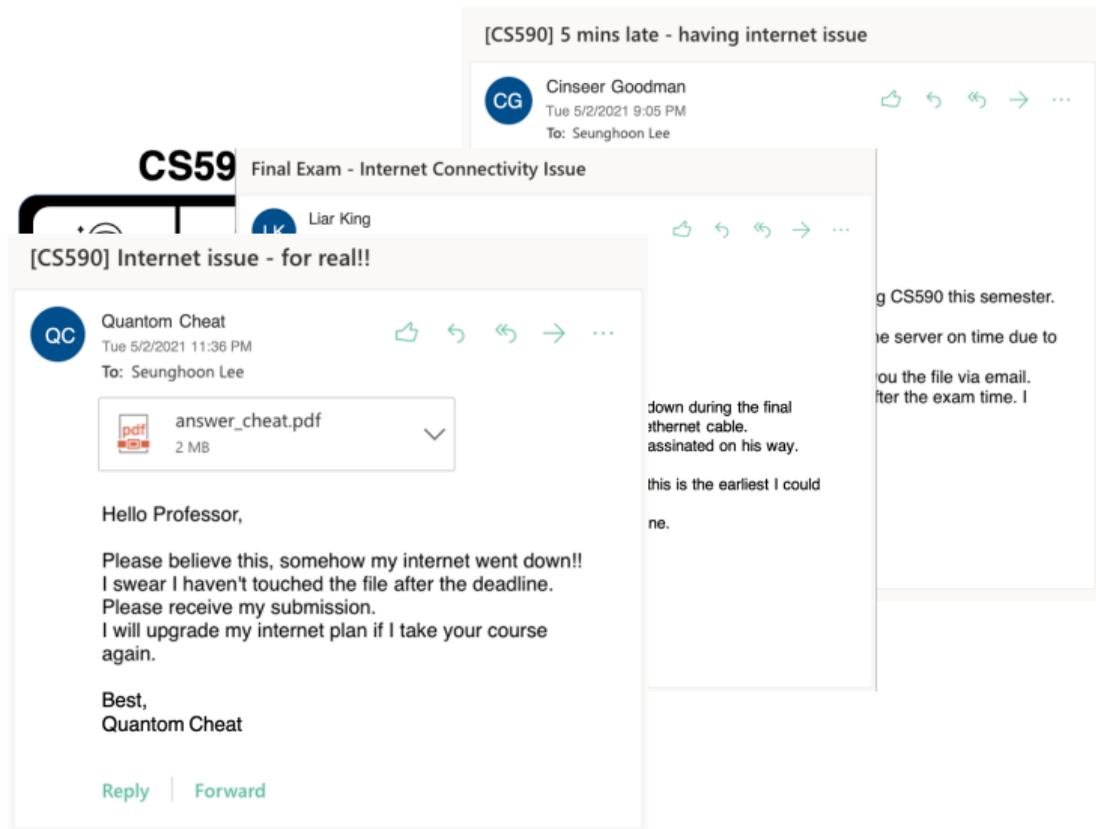
Kind regards,

Liar King

Reply | Forward

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The image is a collage of overlapping email screenshots. The top-left screenshot shows an email from 'TS' with the subject '[CS590] Help, internet issue!!'. Below it is an email from 'ME' with the subject 'CS590 final exam answer'. The middle-left screenshot is from 'BM' with the subject 'cs590 internet went down'. The largest screenshot is from 'FY' (Fool Yoo) dated Wed 5/3/2021 7:13 PM, addressed to Seunghoon Lee. It contains a PDF attachment named 'answer_fool.pdf' (2 MB) and the following text: 'Professor, Finally, I got my internet back. It is already a day after the deadline, but please take my answer sheet. My mom thought I was playing a game and she cut off my ethernet cable.. I immediately called maintenance but it took one day to fix it. I can certainly prove that I haven't done any extra work after the exam deadline. For real. Thank you for your consideration. Sincerely, Fool Yoo'. Below the text are 'Reply' and 'Forward' buttons. To the right, another screenshot shows an email from 'CG' (Cinseer Goodman) dated Tue 5/2/2021 9:05 PM, addressed to Seunghoon Lee, with the subject '[CS590] 5 mins late - having internet issue'. Below this is a screenshot of an 'Internet Connectivity Issue' notification. On the far right, a partial screenshot shows text: 'g CS590 this semester. e server on time due to ou the file via email. ter the exam time. I'.

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Internet problem

[CS590] Help, internet issue!!

ME CS590 final exam answer

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Sincerely,
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Reply Forward

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Which students are telling the truth?



Solution: Proofs of Sequential Work (PoSW)

What is a Proof of Sequential Work? (Informal)

A **proof** that a large amount (N) of sequential work was performed after a prover committed an initial message, e.g., the solution for the final exam

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Initial approach: iterative hash chain

$$\text{☺} : \text{Ⓜ} \mapsto \mathcal{H}(\text{Ⓜ}) \mapsto \mathcal{H}^2(\text{Ⓜ}) \mapsto \mathcal{H}^3(\text{Ⓜ}) \mapsto \dots \mapsto \mathcal{H}^{N-1}(\text{Ⓜ}) \mapsto \mathcal{H}^N(\text{Ⓜ})$$

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- Disadvantage: Instructor needs to recompute the whole thing
- Many late students? \rightarrow insufficient computational resources to verify all solutions

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- **Soundness**: students (prover) should *not* be able to produce a *valid* proof faster (than sequential time $\Omega(N)$, even if running in parallel).

PoSW Constructions

Mahmoody et al. [MMV13]: the first theoretical construction of a PoSW

- Verifier time $\text{polylog } N$, and prover time $\Omega(N)$,
- Parallel cheating prover running in sequential time $< N$ cannot fool the verifier, and
- Security proof in the **classical ROM**.

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Cohen and Pietrzak [CP18]: an improved & practical PoSW construction

- Modular security proof in the **classical ROM**:
 - Any parallel cheating prover (for the PoSW) must produce a long \mathcal{H} -sequence (whp), and
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PoSW Constructions

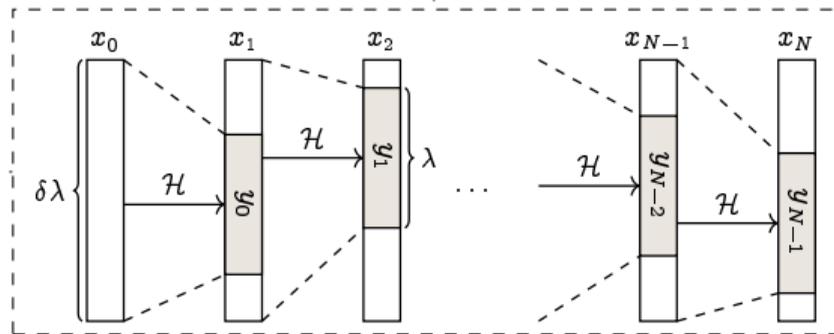
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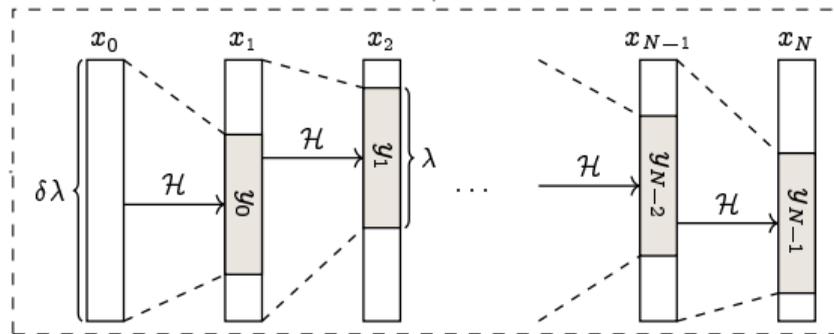
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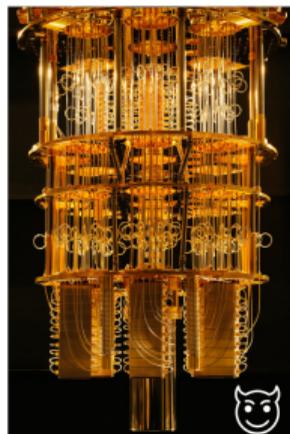
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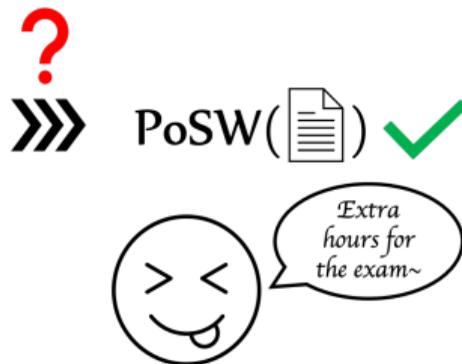
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Key Research Questions:

- Can a sequentially time-bounded parallel *quantum* attacker produce a long \mathcal{H} -sequence?
- Can a sequentially time-bounded parallel *quantum* attacker produce a valid PoSW?



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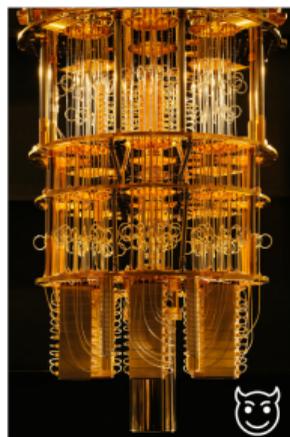
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PoSW() 

Short answer: NO!



Our Result. Hardness of Producing an \mathcal{H} -Sequence/PoS in a Quantum Setting

Theorem (informal)

A *quantum adversary* making at most $q \ll 2^{\lambda/3}$ queries over $N - 1$ rounds outputs an \mathcal{H} -sequence of length N (x_0, \dots, x_N with $|x_i| \leq \delta\lambda$ where $\delta \geq 1$) with *negligible probability* $\mathcal{O}\left(\frac{q^3 \delta \lambda}{2^\lambda}\right)$.

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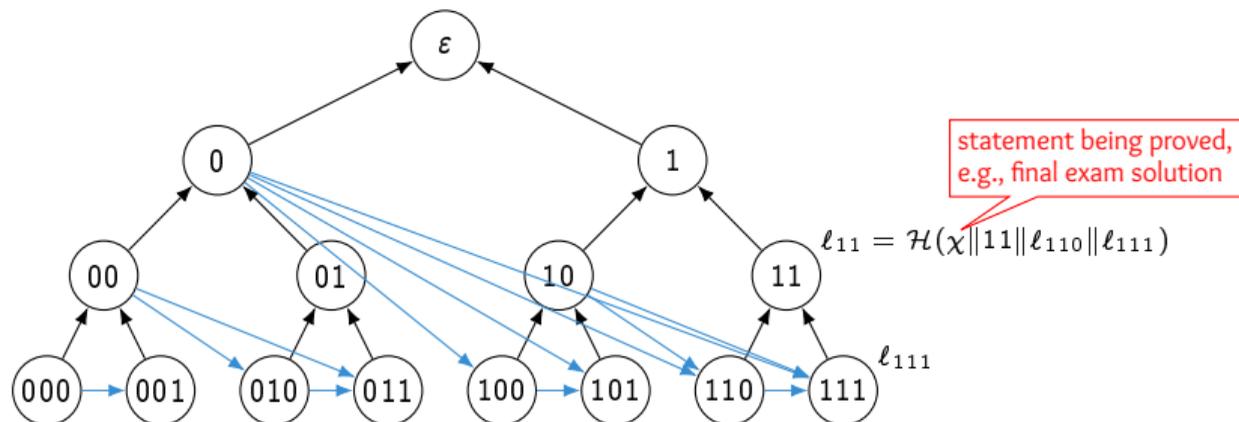
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Concurrent/Subsequent Work.

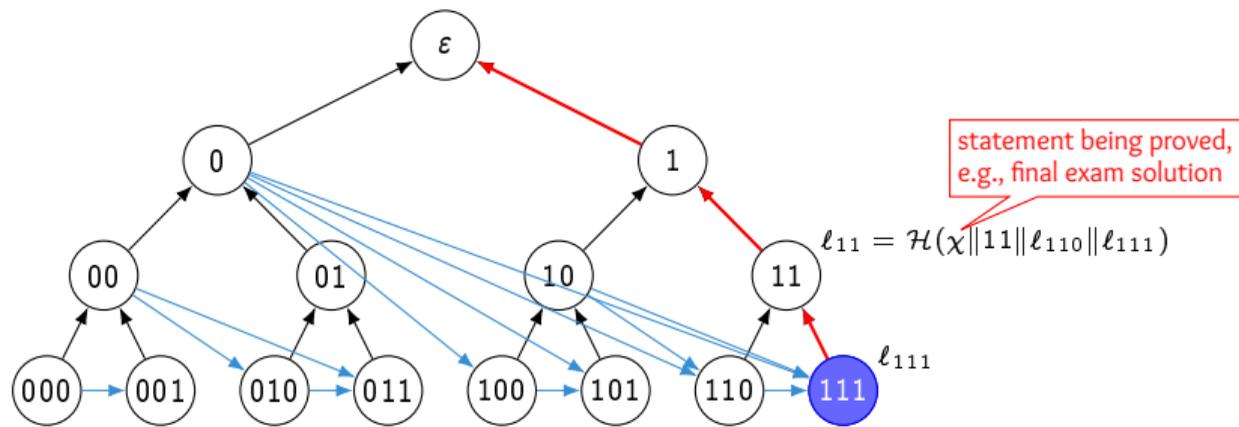
- Chung et al. [CFHL21]: also gave a comparable security bounds for the PoSW in the pqROM

The [CP18] Construction



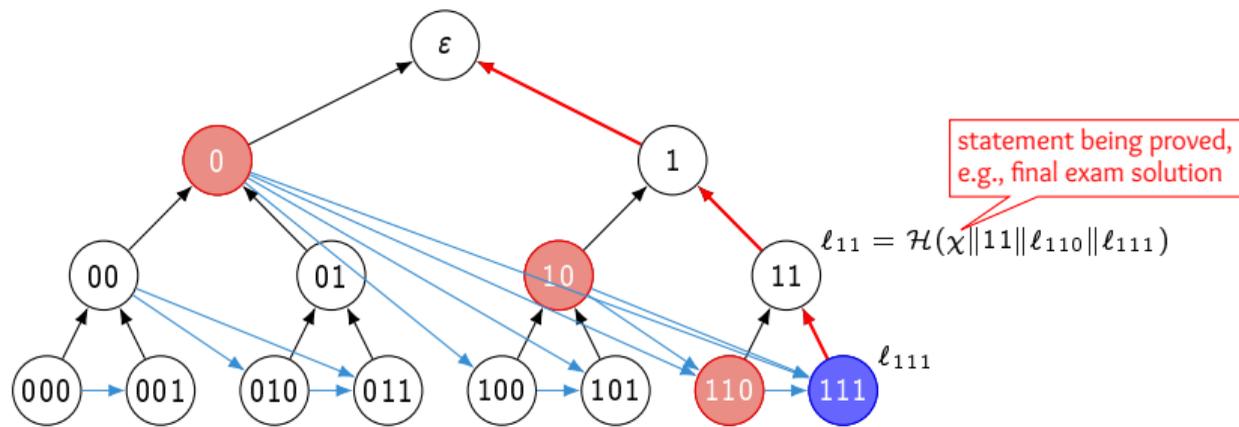
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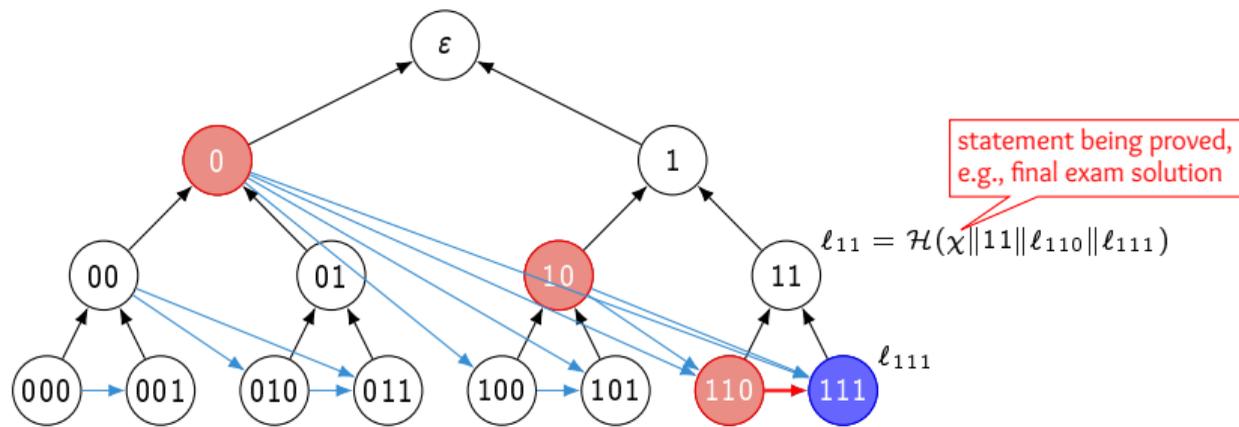
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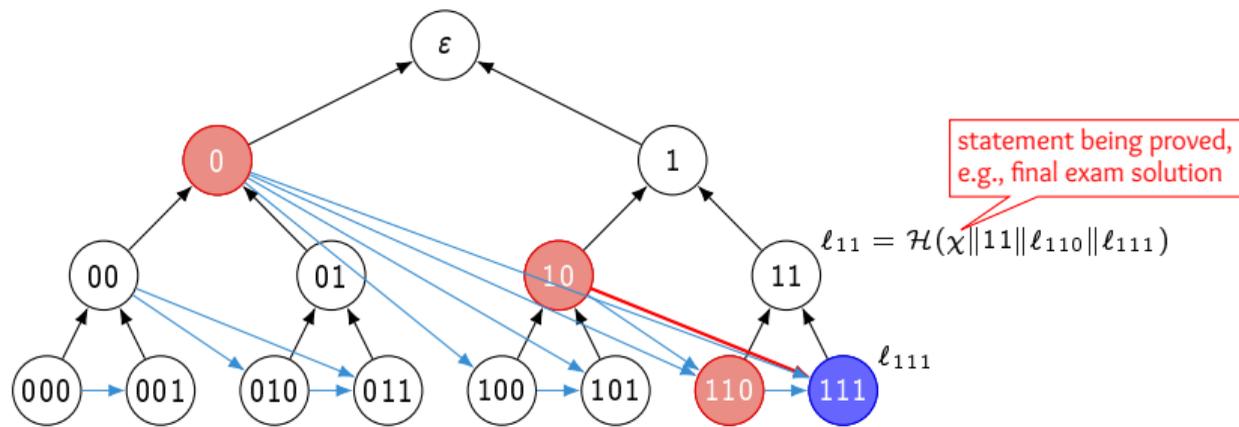
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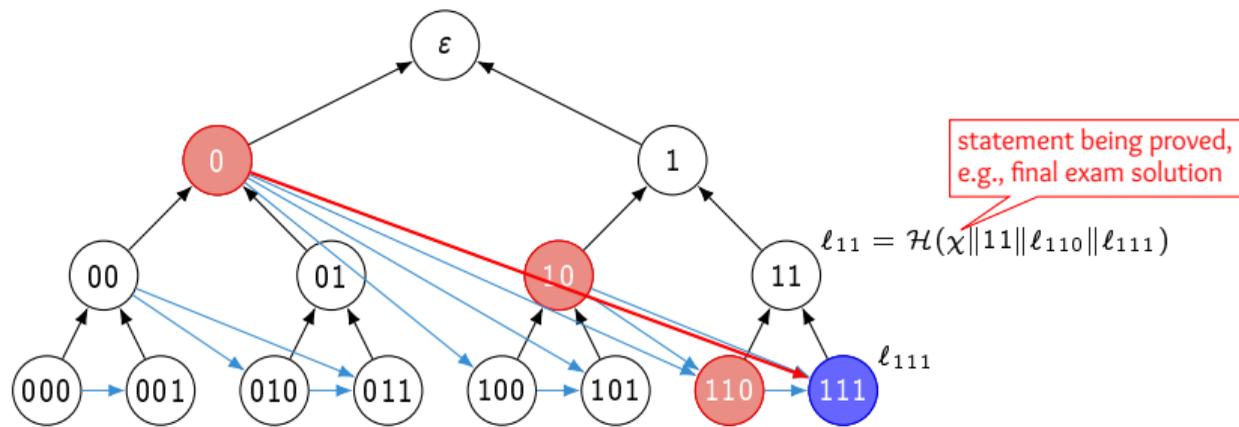
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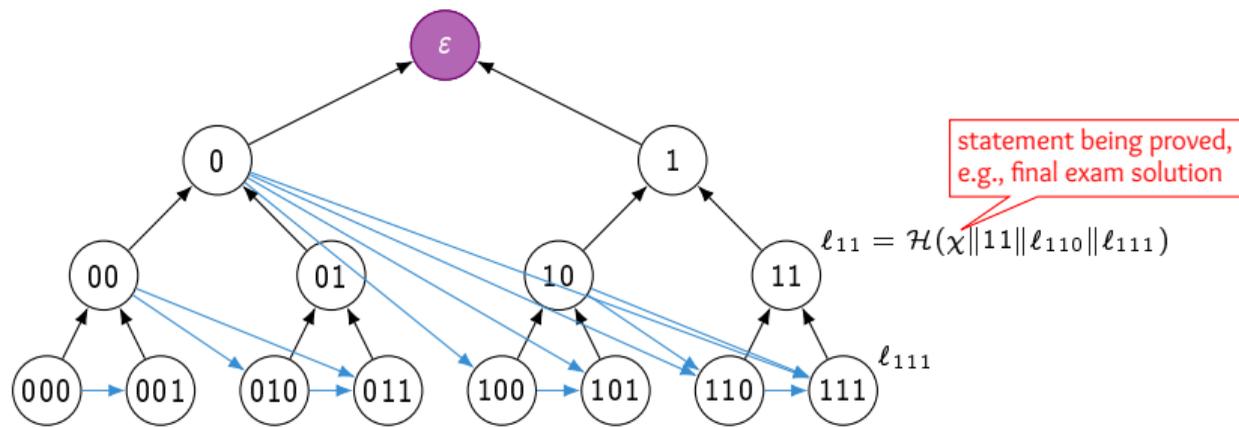
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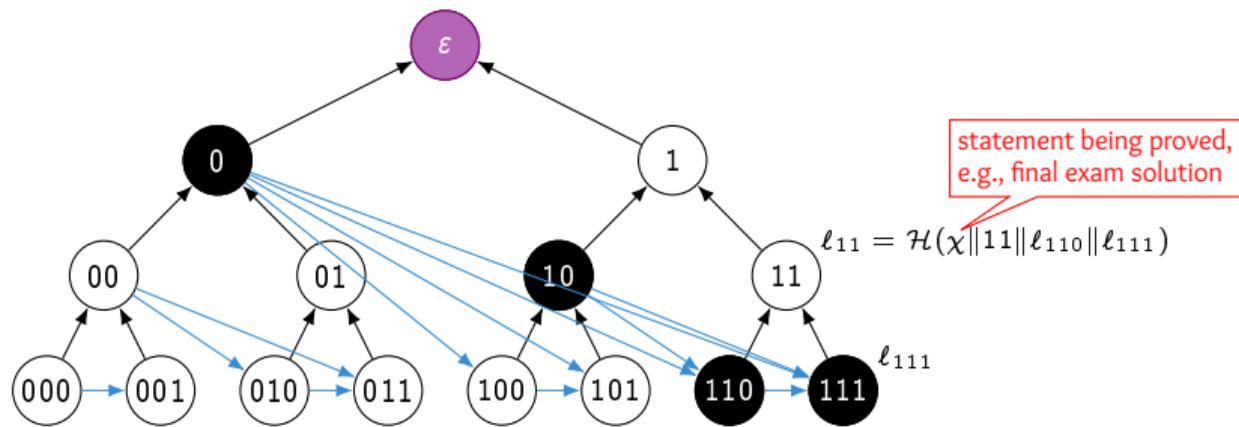
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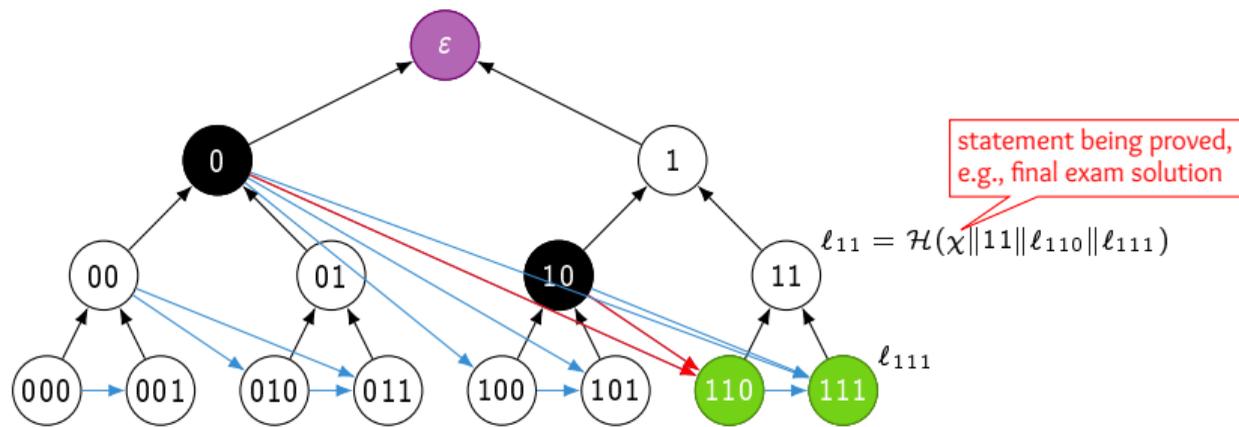
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- Each node has a label, a hash of its parents
- The label of root node forms a **Merkle tree commitment** of all the other nodes
 - Verifier can audit the prover by forcing the prover to open certain labels
 - Show that they are locally consistent

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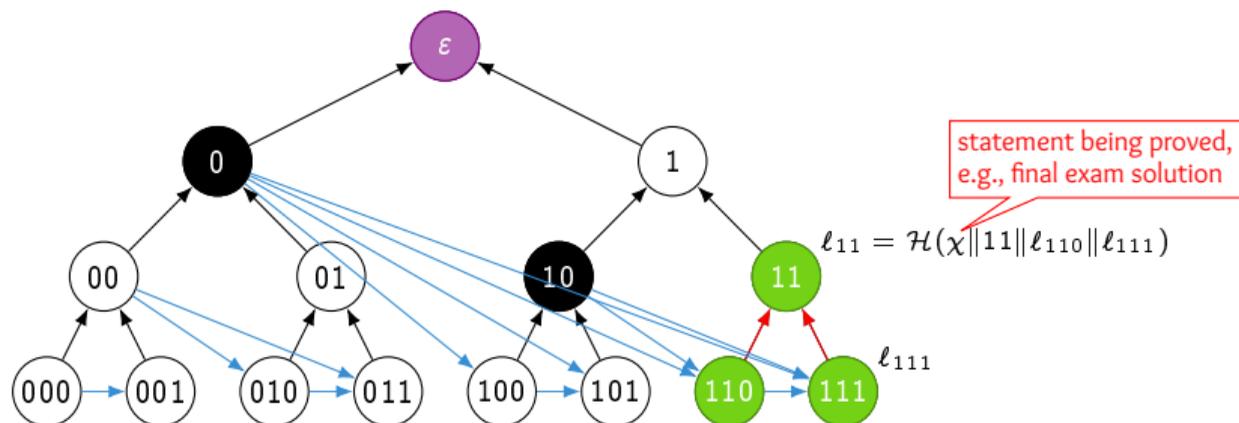
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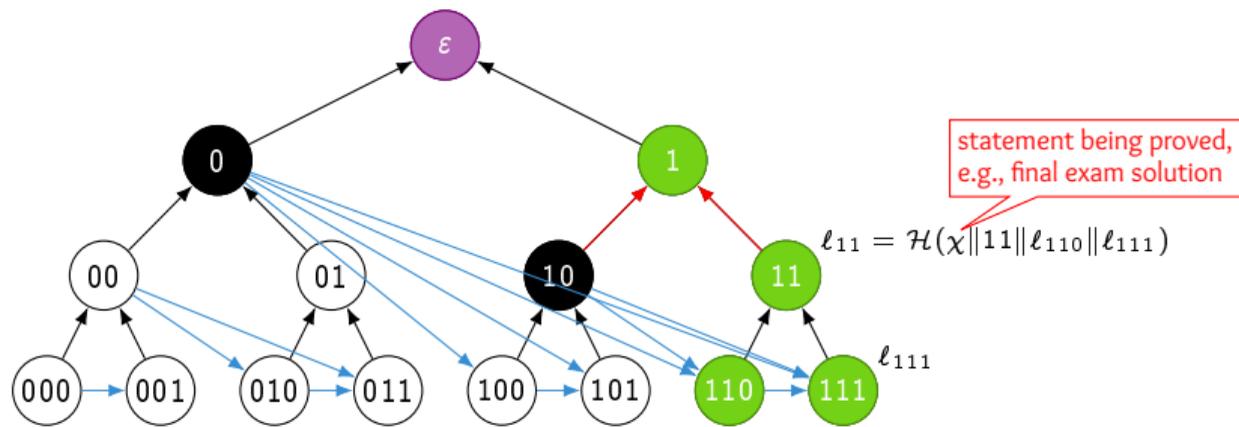
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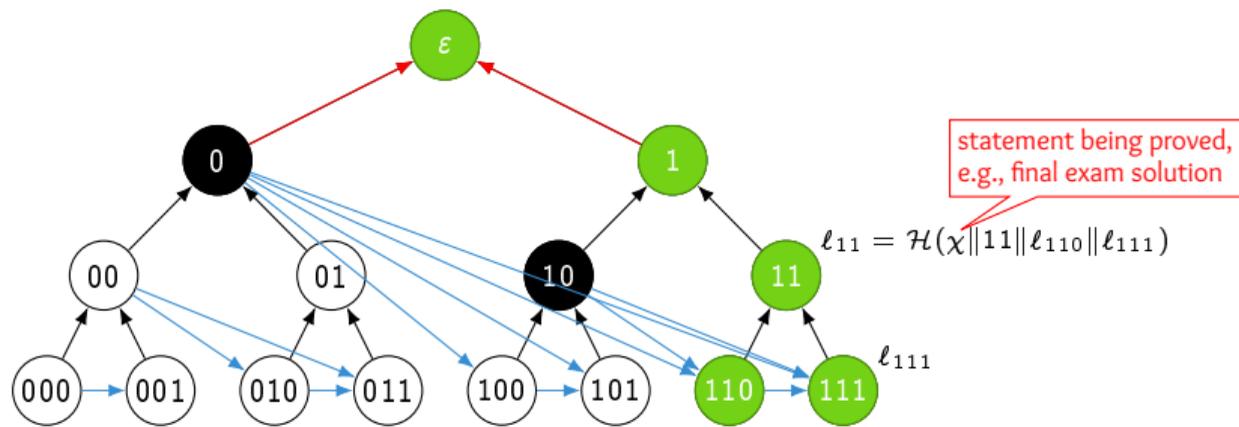
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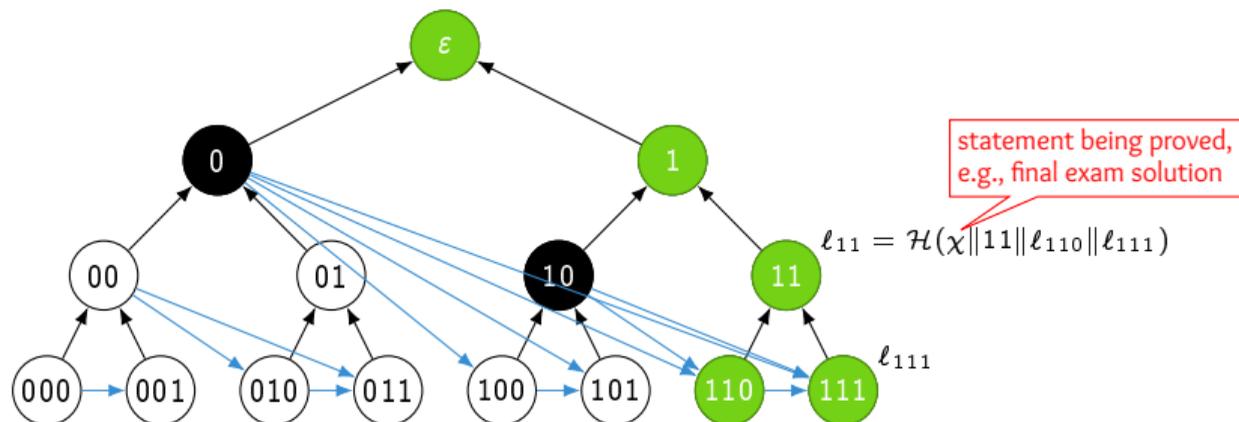
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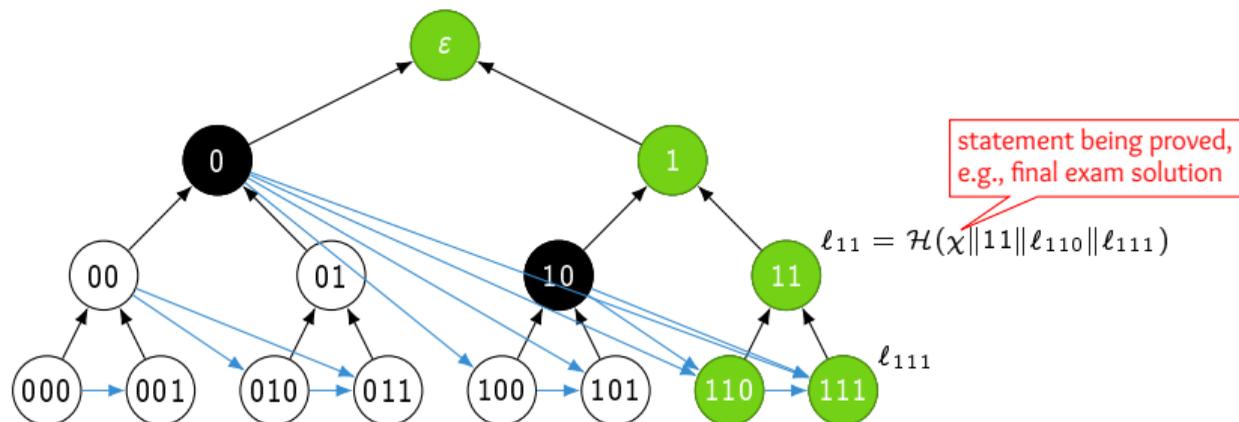
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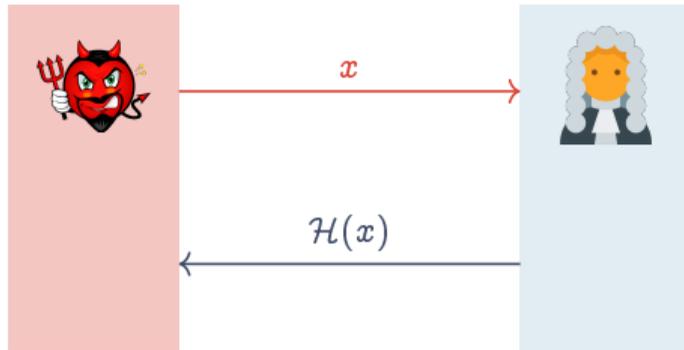
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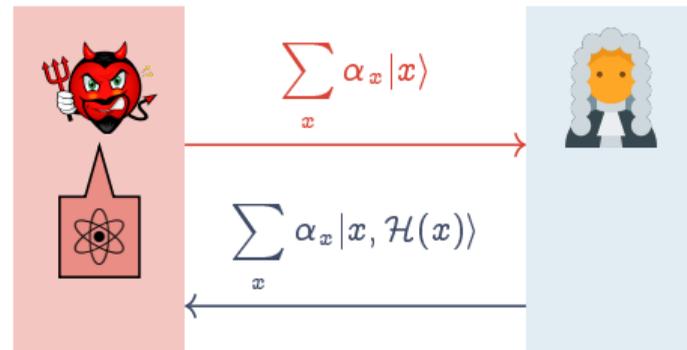
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 - Show that they are locally consistent
- Audit process: interactive or non-interactive (Fiat-Shamir)
- Any classical ROM attacker that produces a valid PoSW in time $< N$ must produce a long \mathcal{H} -sequence

ROM vs qROM [BDF⁺11]

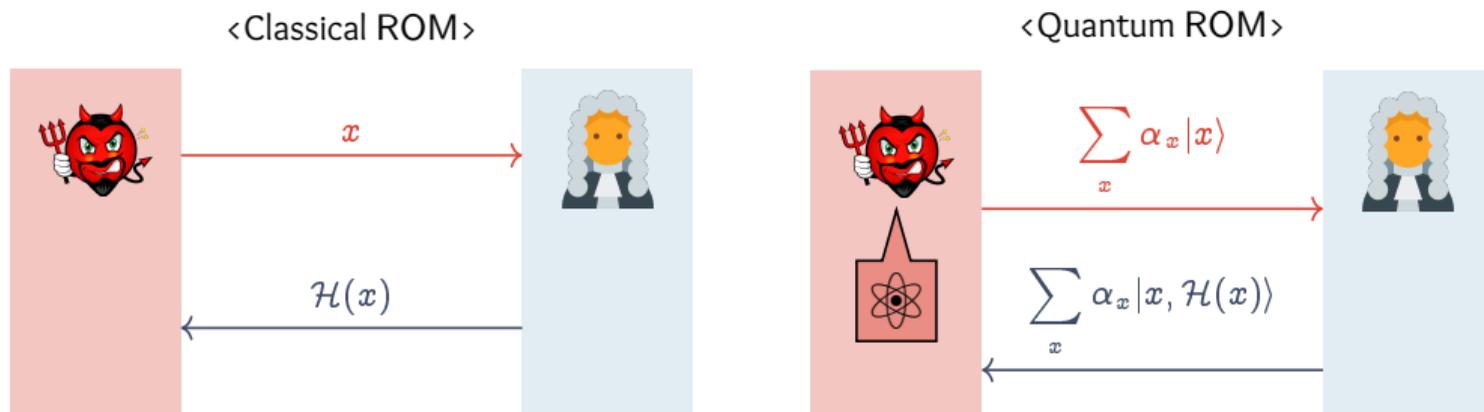
<Classical ROM>



<Quantum ROM>

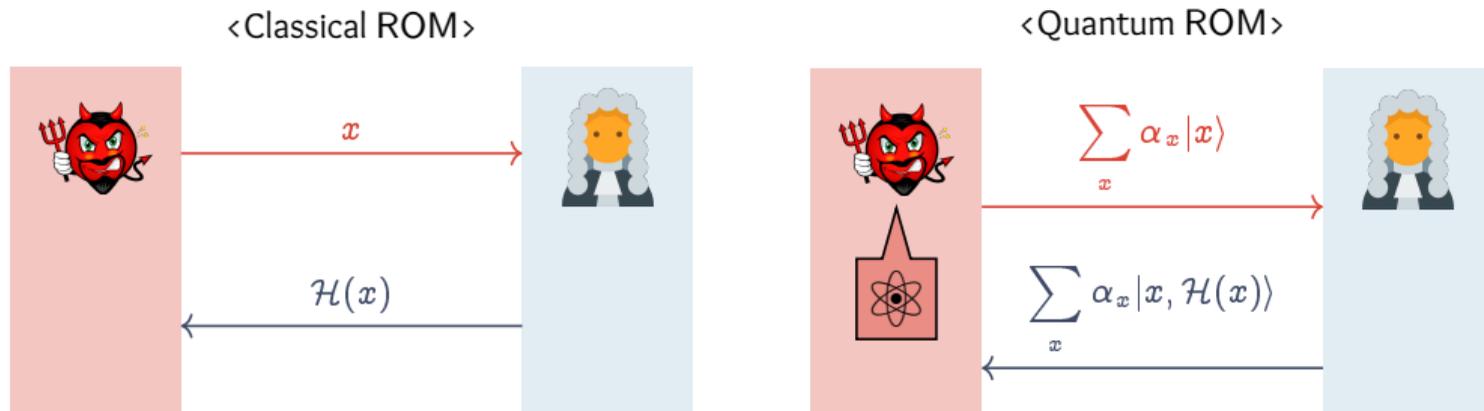


ROM vs qROM [BDF⁺11]



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- Compressed Oracle Technique [Zha19]: **change of view** (compressed phase oracle (CPhsO))

$$|x, y\rangle \otimes |\mathcal{H}\rangle \mapsto |x, y \oplus \mathcal{H}(x)\rangle \otimes |\mathcal{H}\rangle$$

⇕

$$|x, y\rangle \otimes |\mathcal{H}\rangle \mapsto (-1)^{y \cdot \mathcal{H}(x)} |x, y\rangle \otimes |\mathcal{H}\rangle$$

Compressed Phase Oracle (CPhsO)

A database $\mathcal{D} := \{(x_i, y_i), i \geq 1\}$, where $\mathcal{D}(x_i) = y_i$.

How to view a random oracle?

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- a compressed dataset of at most q input/output pairs.

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Example: Single Query (simplest case)

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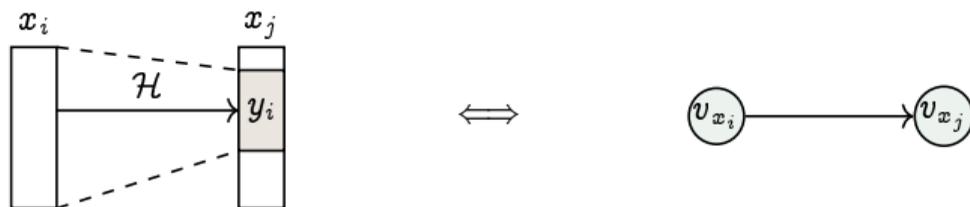
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Notations

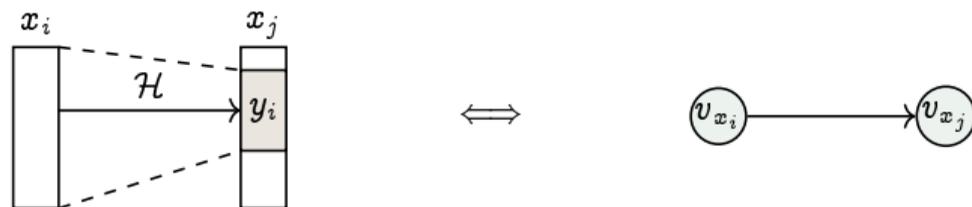
- Given a database $\mathcal{D} = \{(x_1, y_1), \dots, (x_q, y_q)\}$, define a directed graph $G_{\mathcal{D}}$ on q nodes $(v_{x_1}, \dots, v_{x_q})$ such that:



- $\text{PATH}_s := \{\mathcal{D} : G_{\mathcal{D}} \text{ contains a path of length } s\}$ (set of databases), and
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\mathcal{D} contains an \mathcal{H} -sequence of length s



$\mathcal{D} \in \text{PATH}_s$

Proof Ideas: Hardness of Producing an \mathcal{H} -sequence in a Quantum Setting

Lemma

$|\varphi\rangle$: an initial state, and let $|\varphi'\rangle = \text{CPhsO}^k |\varphi\rangle$. Then $L_2(|\varphi'\rangle, \widetilde{\text{PATH}}_{s+1}) - L_2(|\varphi\rangle, \widetilde{\text{PATH}}_s) \leq \frac{4k\sqrt{(q+k)\delta\lambda}}{2^{\lambda/2}}$.

Interpretation/Intuition:

- $L_2(|\varphi\rangle, \widetilde{\text{PATH}}_s)$: 2-norm of the projection of $|\varphi\rangle$ onto $\widetilde{\text{PATH}}_s$, i.e.,

$$|\varphi\rangle = \sum_X \alpha_X |X\rangle \quad \Rightarrow \quad L_2(|\varphi\rangle, \widetilde{\text{PATH}}_s) = \sqrt{\sum_{|X\rangle \in \widetilde{\text{PATH}}_s} |\alpha_X|^2}.$$

- If we start with the state that is nearly orthogonal to $\widetilde{\text{PATH}}_s$, then after applying the oracle CPhsO^k , the resulting state is also nearly orthogonal to $\widetilde{\text{PATH}}_{s+1}$.

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BAD: $\mathcal{D} \notin \text{PATH}_s$ but $\mathcal{D} \cup \{(x_1, w_1), \dots, (x_k, w_k)\} \in \text{PATH}_{s+1}$

Proof Ideas: Hardness of Producing an \mathcal{H} -sequence in a Quantum Setting

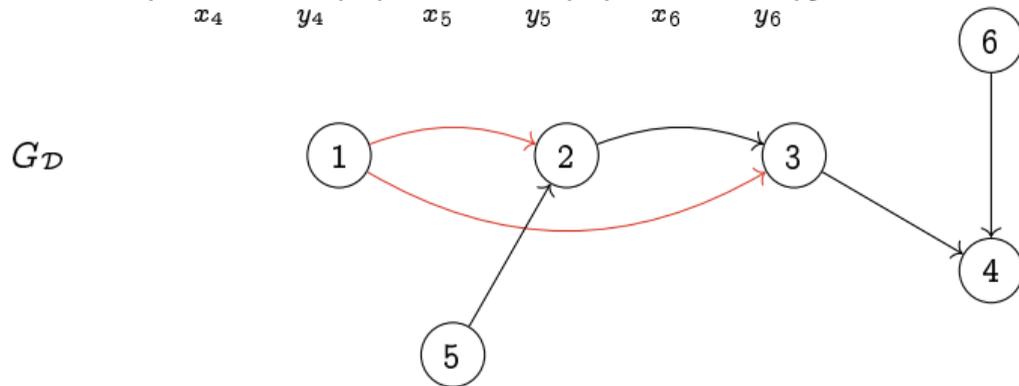
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Proof by example:

$$\mathcal{D} = \left\{ \begin{array}{cccccc} x_1 & y_1 & x_2 & y_2 & x_3 & y_3 \\ (10101, 0001), & (00011, 0010), & (00010, 0110) \\ & (01101, 0000), & (11110, 0011), & (01011, 1101) \end{array} \right\}$$

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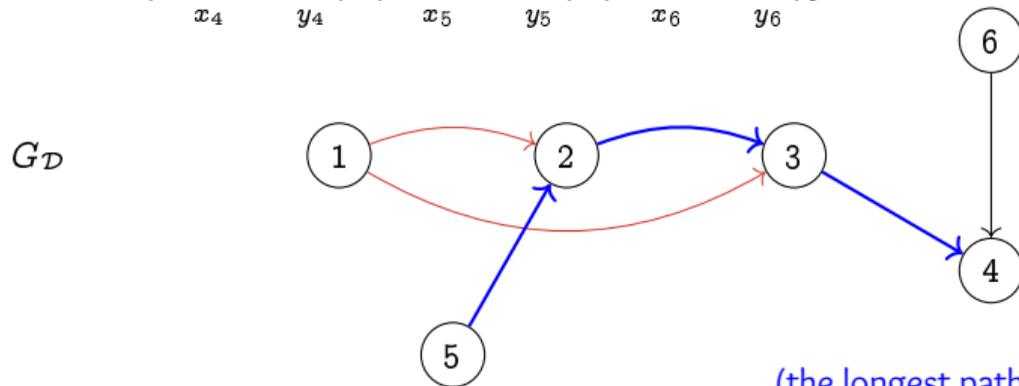
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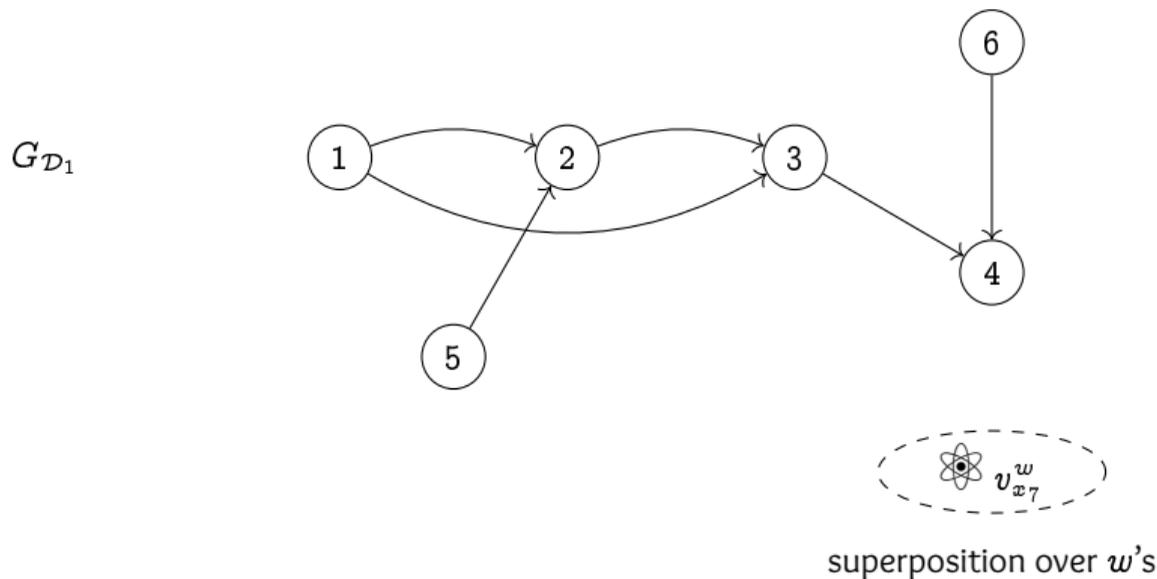
(the longest path) = (5, 2, 3, 4)

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Proof Ideas: Hardness of Producing an \mathcal{H} -sequence in a Quantum Setting

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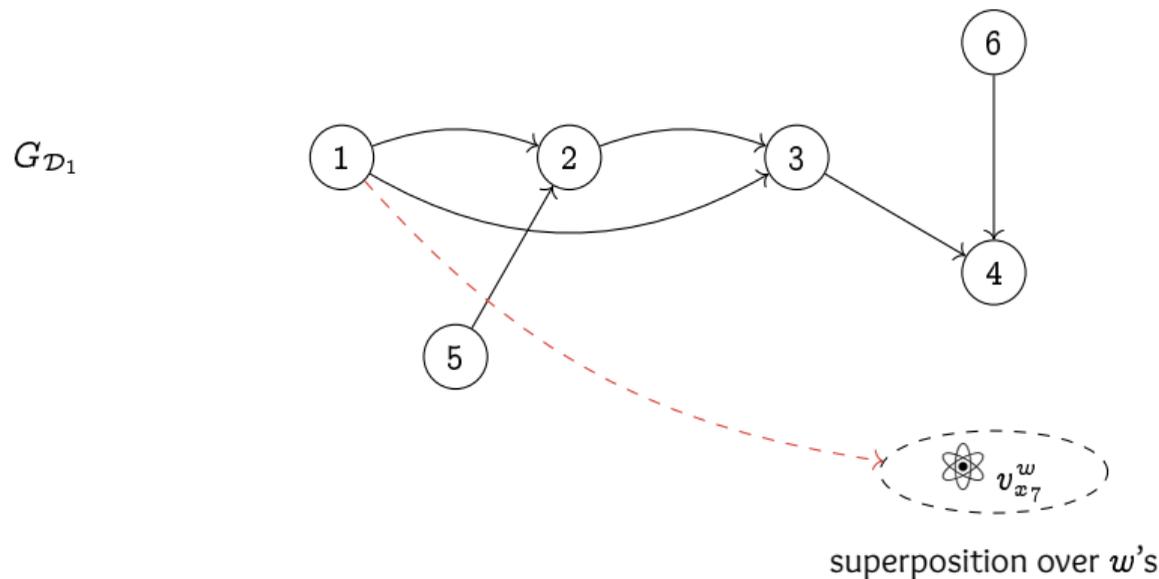
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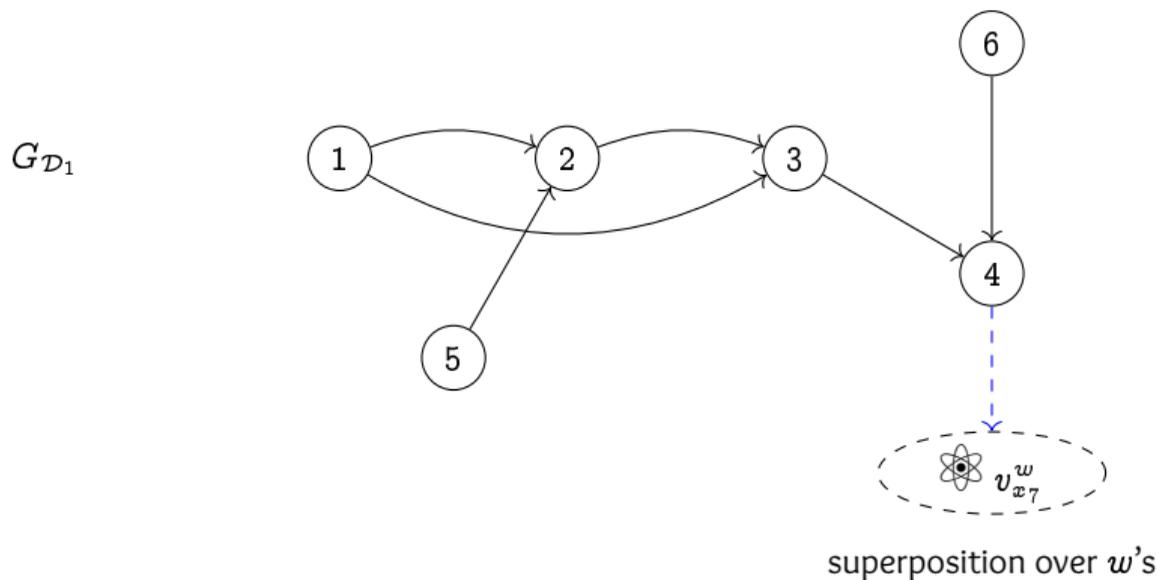
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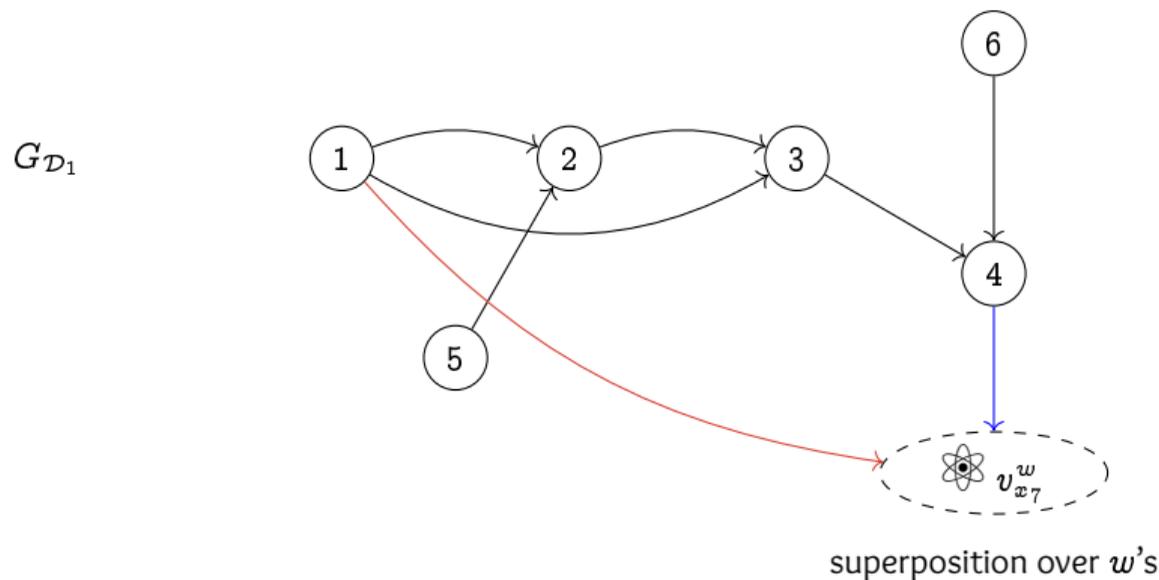
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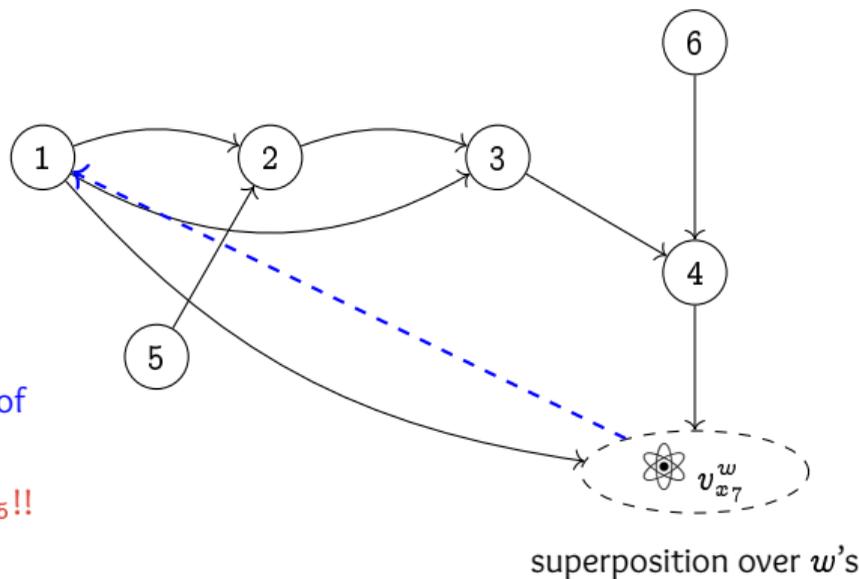


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$G_{\mathcal{D}_1}$



BAD if:

- back edges from $v_{x_7}^w$ to some $i \in \{1, \dots, 6\}$.
(e.g., $w = 1010 \Rightarrow$ substring of $x_1 = 10101$)

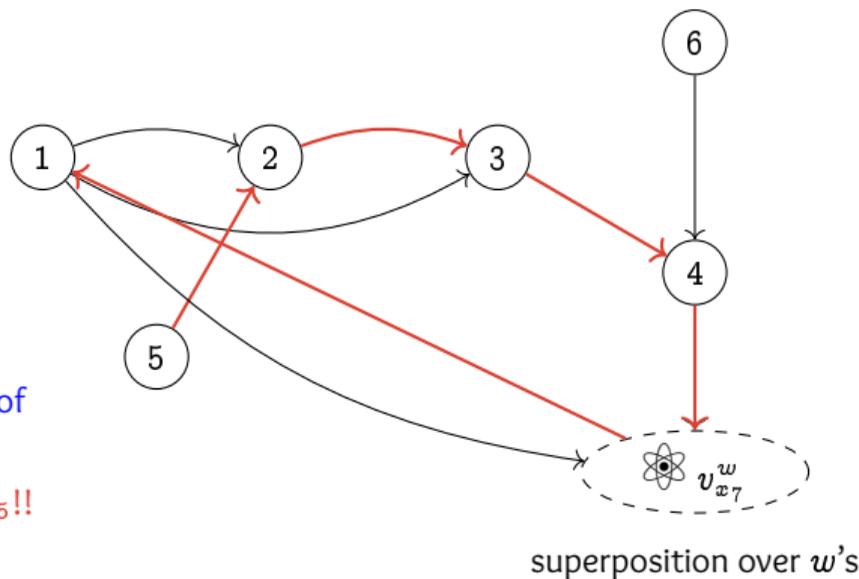
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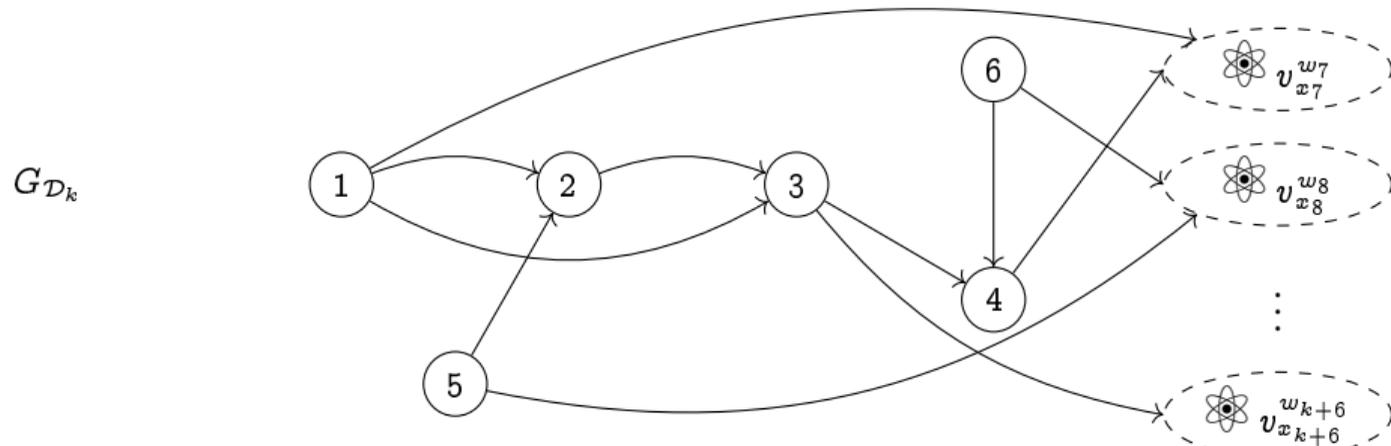
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For a **parallel query**: x_7, \dots, x_{k+6} (where $x_7, \dots, x_{k+6} \notin \mathcal{D}$ and all x_i 's are distinct for simplicity),

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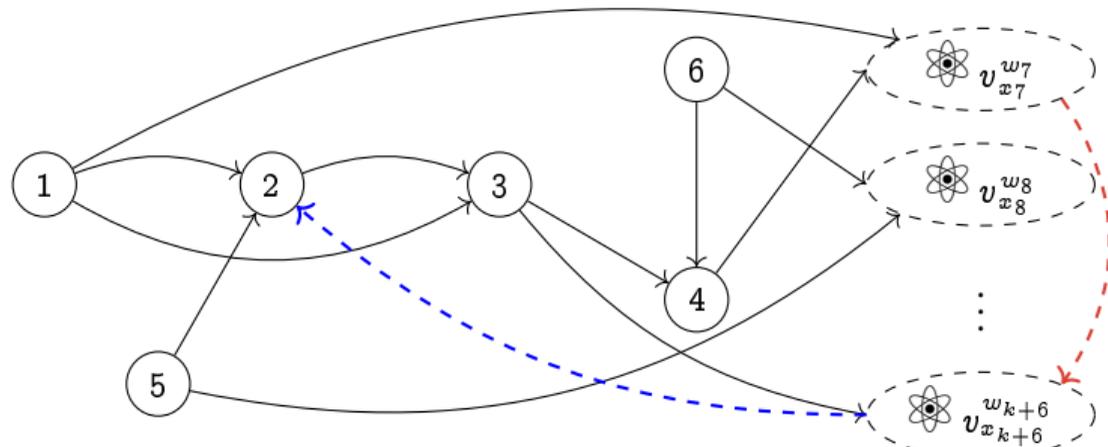


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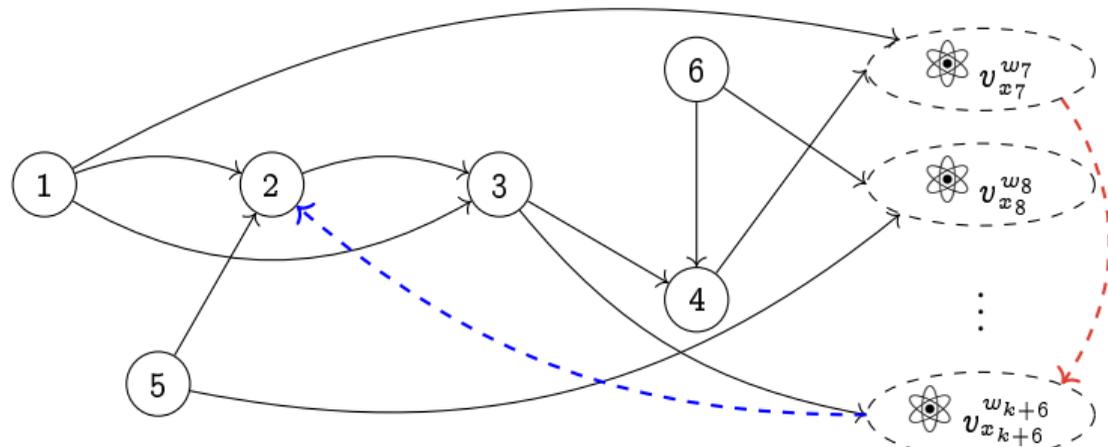
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Key observation: The fraction of such w_7, \dots, w_{k+6} 's is negligibly small! $((q+k)\delta\lambda$ out of 2^λ for each w_i)

Proof Ideas: Hardness of Producing an \mathcal{H} -sequence in a Quantum Setting

We have shown: k parallel queries in a single round,

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Security of a non-interactive PoSW: similar argument using the result above - details in the paper (<https://arxiv.org/pdf/2006.10972.pdf>)

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- Can techniques extend to other primitives, e.g., Proofs of Space, Memory-Hard Functions, etc.?

References I

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